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PTO/SB/39 (01-03)

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**Request
For
Continued Examination (RCE)
Transmittal**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/529,192
Filing Date	June 26, 2000
First Named Inventor	Thomas Jung
Art Unit	1762
Examiner Name	W. Markham
Attorney Docket Number	SPM-290-A

#28
July
7/17/03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 25-0115

i. ☐ RCE fee required under 37 CFR 1.17(e) 07/15/2003 SDIRETA1 00000085 09529192

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) 01 FC:1801

iii. ☐ Other _____ 750.00 pp

b. ☒ Check in the amount of \$ 750 + 110 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Darlene P. Condra	Registration No. (Attorney/Agent)	37113
Signature	<i>Darlene P. Condra</i>	Date	July 11, 2003
CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.			
Name (Print/Type)	Susan L. Dunlap	Date	July 11, 2003
Signature	<i>Susan L. Dunlap</i>		

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Our reference: SPM-290-A

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Thomas Jung and Claus-Peter Klages
Serial Number:	09/529,192
Filing Date:	June 26, 2000
Examiner/Art Group Unit:	Markham, W./1762
Title:	METHOD AND DEVICE FOR SURFACE-TREATING SUBSTRATES

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7/17/03

AMENDMENT

M.S. RCE
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Sir:

The Office Action dated March 11, 2003 and the Advisory Action dated June 10, 2003 have been received and carefully reviewed. Please enter the enclosed Amendment in above-identified patent application.